



ATTORNEY DOCKET NO.: C01104/70016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, et al.  
Serial No: 09/805,590  
Confirmation. No.: 1160  
Filed: March 13, 2001  
For: LIGHT-EMITTING DIODE BASED PRODUCTS  
Examiner: A., Minh D.  
Art Unit: 2821

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 29 day of March, 2005.

  
Jeanne Chub

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)**

Sir/Madam,

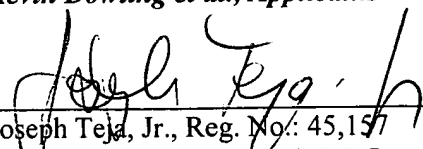
This is a request under 37 C.F.R. §1.48(a) to add inventor Timothy Holmes in the above-identified application. Pursuant to 37 C.F.R. §1.48(a), accompanying this request are: 1) a statement from Timothy Holmes that the error in inventorship occurred without deceptive intention on his part; 2) an oath or declaration signed by the actual inventors; and 3) the written consent of the assignee of the original named inventors and accompanying certification under 37 C.F.R. §3.73(b).

The processing fee of \$130, pursuant to 37 C.F.R. §1.17(i), is enclosed. Please charge any deficiencies to Deposit Account No. 50/2762.

Favorable consideration is requested.

Respectfully submitted,

*Kevin Dowling et al., Applicants*

By:   
Joseph Teja, Jr., Reg. No.: 45,157  
Lowrie, Lando & Anastasi, L.L.P.  
One Main Street  
Cambridge, Massachusetts 02142  
Telephone: (617)395-7000

04/01/2005 WABDEL R3 00000100 09805590

01 FC:1464

130.00 DP

Docket No.: C01104/70016  
Date: March 29, 2005



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29<sup>th</sup> day of March, 2004  
2005

  
Jeanne Chub

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


WRITTEN CONSENT OF ASSIGNEE ACCOMPANYING REQUEST TO  
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

Color Kinetics, Incorporated, assignee of the entire right, title, and interest in the above-identified application, hereby gives its written consent to add inventor Timothy Holmes in the above-identified application pursuant to the accompanying request to correct inventorship under 37 C.F.R. §1.48(a).

Respectfully submitted,

\_\_\_\_\_  
Date June 10, 2004

  
Kevin J. Dowling  
Vice President of Strategy and Technology  
Color Kinetics, Inc.



**CERTIFICATE UNDER 37 CFR 3.73(b)**

Applicant: Kevin Dowling et al.

Application No.: 09/805,590 Filed: March 13, 2001

Confirmation No.: 1160

Entitled: LIGHT-EMITTING DIODE BASED PRODUCTS (Attorney Docket No. C01104/70016)

Color Kinetics Incorporated, a Massachusetts corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012509, Frame 0062, or for which a copy thereof is attached

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at

Reel \_\_\_\_\_ Frame \_\_\_\_\_, \_\_\_\_\_ or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at

Reel \_\_\_\_\_ Frame \_\_\_\_\_, \_\_\_\_\_ or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at

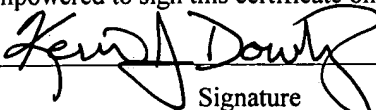
Reel \_\_\_\_\_ Frame \_\_\_\_\_, \_\_\_\_\_ or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

June 10, 2004  
\_\_\_\_\_  
Date

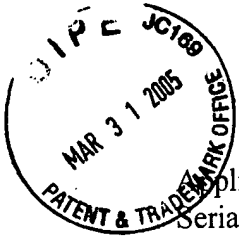
  
\_\_\_\_\_  
Signature

Kevin J. Dowling

\_\_\_\_\_  
Typed or Printed Name

Vice President of Strategy and Technology

\_\_\_\_\_  
Title



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Jeanne Chub

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT OF TIMOTHY HOLMES ACCOMPANYING REQUEST TO  
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

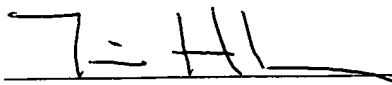
Sir/Madam,

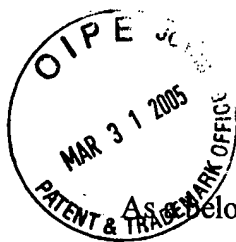
I, Timothy Holmes, state that the error in inventorship in the above-identified application occurred without deceptive intention on my part.

This statement is made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that any such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Respectfully submitted,

3-24-04  
Date

  
Timothy Holmes

**SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION**

Below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

**LIGHT-EMITTING DIODE BASED PRODUCTS**

Which was filed on March 13, 2001, as Application No. 09/805,590, bearing Attorney Docket No. C01104/70016, and was amended on October 8, 2002 and December 9, 2002.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign or PCT International Application(s) and any priority claims under 35 U.S.C. §119(a)-(d) and §365(a),(b):

			Priority Claimed	
_____ (Number)	_____ (Country-if PCT, so indicate)	_____ (DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____ (Number)	_____ (Country-if PCT, so indicate)	_____ (DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____ (Number)	_____ (Country-if PCT, so indicate)	_____ (DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>60/199,333</u>	<u>April 24, 2000</u>
(Application Number)	(filing date)
<u>60/211,417</u>	<u>June 14, 2000</u>
(Application Number)	(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>09/215,624</u>	<u>December 17, 1998</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/213,607</u>	<u>December 17, 1998</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/213,189</u>	<u>December 17, 1998</u>	<u>Now Patent No. 6,459,919</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>09/213,581</u>	<u>December 17, 1998</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/213,540</u>	<u>December 17, 1998</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/333,739</u>	<u>June 15, 1999</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/344,699</u>	<u>June 25, 1999</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/626,905</u>	<u>July 27, 2000</u>	<u>Now Patent No. 6,340,868</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>09/669,121</u>	<u>September 25, 2000</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/742,017</u>	<u>December 20, 2000</u>	<u>(status-patented, pending, abandoned)</u>
(Application No.)	(filing date)	
<u>09/213,537</u>	<u>December 17, 1998</u>	<u>Now Patent No. 6,292,901</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>09/213,659</u>	<u>December 17, 1998</u>	<u>Now Patent No. 6,211,626</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>08/920,156</u>	<u>August 26, 1997</u>	<u>Now Patent No. 6,016,038</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>09/425,770</u>	<u>October 22, 1999</u>	<u>Now Patent No. 6,150,774</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)

Serial No. 09/805,590

Attorney Docket No.: C01104/70016  
Declaration – Page 3 of 6

<u>09/213,548</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>Now Patent 6,166,496</u> (status-patented, pending, abandoned)
<u>60/071,281</u> (Application No.)	<u>December 17, 1997</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>60/068,792</u> (Application No.)	<u>December 24, 1997</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>60/078,861</u> (Application No.)	<u>March 20, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>60/079,285</u> (Application No.)	<u>March 25, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>60/090,920</u> (Application No.)	<u>June 26, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>

PCT International Applications designating the United States:

<u>(PCT Appl. No.)</u>	<u>(U.S. Ser. No.)</u>	<u>(PCT filing date)</u>	<u>(status-patented,pending,abandoned)</u>
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I hereby appoint the following Registered Practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All Registered Practitioners of Lowrie, Lando & Anastasi, LLP associated with Customer Number 37462.

Address all telephone calls to Joseph Teja, Jr. at telephone no. (617) 395-7000.

Address all correspondence to: the Correspondence Address associated with Customer Number 37462.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

---

<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: Kevin J. Dowling	
Citizenship: United States	
Residence: 23 Village View Road, Westford, MA 01886	
Post Office Address: 23 Village View Road, Westford, MA 01886	

---

<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: Frederick M. Morgan	
Citizenship: United States	
Residence: 157 Butler Road, Quincy, MA 02169	
Post Office Address: 157 Butler Road, Quincy, MA 02169	

---

<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: Ihor A. Lys	
Citizenship: United States	
Residence: 1221 Canton Avenue, Milton, MA 02186	
Post Office Address: 1221 Canton Avenue, Milton, MA 02186	

---

<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: Michael K. Blackwell	
Citizenship: United States	
Residence: 357 Blue Hills Parkway, Milton, MA 02186	
Post Office Address: 357 Blue Hills Parkway, Milton, MA 02186	



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<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: Alfred Ducharme	
Citizenship: United States	
Residence: 14245 Weymouth Run, Orlando, FL 32828	
Post Office Address: 14245 Weymouth Run, Orlando, FL 32828	

---

<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: Ralph Osterhout	
Citizenship: United States	
Residence: 81 Lansing #208, San Francisco, CA 04105	
Post Office Address: 81 Lansing #208, San Francisco, CA 04105	

---

<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: Colin Piepgras	
Citizenship: United States	
Residence: 262 #1 Lafayette Street, Salem, MA 01970	
Post Office Address: 262 #1 Lafayette Street, Salem, MA 01970	

---

<b>Inventor's signature</b>	<b>Date</b>
Full name of first or joint inventor: George G. Mueller	
Citizenship: United States	
Residence: 234 Hanover Street, Boston, MA 02113	
Post Office Address: 234 Hanover Street, Boston, MA 02113	

Serial No. 09/805,590

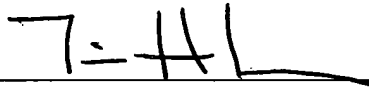
Attorney Docket No.: C01104/70016  
Declaration – Page 6 of 6

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**Inventor's signature**

**Date**

Full name of first or joint inventor: Dawn Geary  
Citizenship: United States  
Residence: 46 Bigelow Road, Southborough, MA  
01772  
Post Office Address: 46 Bigelow Road, Southborough, MA  
01772



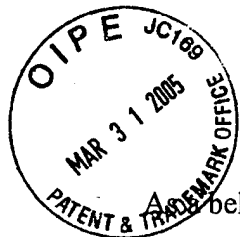
3-24-04

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**Inventor's signature**

**Date**

Full name of first or joint inventor: Timothy Holmes  
Citizenship: United States  
Residence: 2825 Sylvan Lane, Jacksonville, FL  
32257  
Post Office Address: 2825 Sylvan Lane, Jacksonville, FL  
32257



### SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION

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I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

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<u>60/079,285</u> (Application No.)	<u>March 25, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>60/090,920</u> (Application No.)	<u>June 26, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>

PCT International Applications designating the United States:

<u>(PCT Appl. No.)</u>	<u>(U.S. Ser. No.)</u>	<u>(PCT filing date)</u>	<u>(status-patented,pending,abandoned)</u>
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**Inventor's signature**

Full name of first or joint inventor: Kevin J. Dowling  
Citizenship: United States  
Residence: 23 Village View Road, Westford, MA  
01886  
Post Office Address: 23 Village View Road, Westford, MA  
01886

JUNE 10, 2004

Date

**Inventor's signature**

Full name of first or joint inventor: Frederick M. Morgan  
Citizenship: United States  
Residence: 157 Butler Road, Quincy, MA 02169  
Post Office Address: 157 Butler Road, Quincy, MA 02169

June 11, 2004

Date

**Inventor's signature**

Full name of first or joint inventor: Ihor A. Lys  
Citizenship: United States  
Residence: 1221 Canton Avenue, Milton, MA  
02186  
Post Office Address: 1221 Canton Avenue, Milton, MA  
02186

June 11 2004

Date

**Inventor's signature**

Full name of first or joint inventor: Michael K. Blackwell  
Citizenship: United States  
Residence: 357 Blue Hills Parkway, Milton, MA  
02186  
Post Office Address: 357 Blue Hills Parkway, Milton, MA  
02186

10 June 2004

Date

---

**Inventor's signature**

**Date**

Full name of first or joint inventor: Alfred Ducharme  
Citizenship: United States  
Residence: 14245 Weymouth Run, Orlando, FL  
32828  
Post Office Address: 14245 Weymouth Run, Orlando, FL  
32828

---

**Inventor's signature**

**Date**

Full name of first or joint inventor: Ralph Osterhout  
Citizenship: United States  
Residence: 81 Lansing #208, San Francisco, CA  
04105  
Post Office Address: 81 Lansing #208, San Francisco, CA  
04105



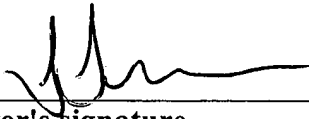
6.10.04

---

**Inventor's signature**

**Date**

Full name of first or joint inventor: Colin Piegras  
Citizenship: United States  
Residence: 262 #1 Lafayette Street, Salem, MA  
01970  
Post Office Address: 262 #1 Lafayette Street, Salem, MA  
01970



6.19.04

---

**Inventor's signature**

**Date**

Full name of first or joint inventor: George G. Mueller  
Citizenship: United States  
Residence: 234 Hanover Street, Boston, MA  
02113  
Post Office Address: 234 Hanover Street, Boston, MA  
02113

---

**Inventor's signature**

**Date**

Full name of first or joint inventor: Dawn Geary  
Citizenship: United States  
Residence: 46 Bigelow Road, Southborough, MA  
01772  
Post Office Address: 46 Bigelow Road, Southborough, MA  
01772

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**Inventor's signature**

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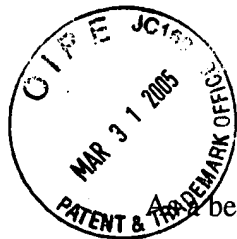
Full name of first or joint inventor: Timothy Holmes  
Citizenship: United States  
Residence: 2825 Sylvan Lane, Jacksonville, FL  
32257  
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Serial No. 09/805,590

Attorney Docket No.: C01104/70016

Declaration – Page 1 of 6



## SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION

I, the below named inventor, I hereby declare that:

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Declaration – Page 3 of 6

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**Date**

Full name of first or joint inventor: Kevin J. Dowling  
Citizenship: United States  
Residence: 23 Village View Road, Westford, MA  
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Post Office Address: 23 Village View Road, Westford, MA  
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**Inventor's signature**

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Full name of first or joint inventor: Frederick M. Morgan  
Citizenship: United States  
Residence: 157 Butler Road, Quincy, MA 02169  
Post Office Address: 157 Butler Road, Quincy, MA 02169

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**Inventor's signature**

**Date**

Full name of first or joint inventor: Ihor A. Lys  
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Residence: 1221 Canton Avenue, Milton, MA  
02186  
Post Office Address: 1221 Canton Avenue, Milton, MA  
02186

---

**Inventor's signature**

**Date**

Full name of first or joint inventor: Michael K. Blackwell  
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Residence: 357 Blue Hills Parkway, Milton, MA  
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Post Office Address: 357 Blue Hills Parkway, Milton, MA  
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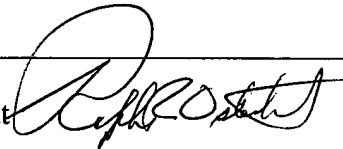
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**Inventor's signature****Date**

Full name of first or joint inventor: Alfred Ducharme  
Citizenship: United States  
Residence: 14245 Weymouth Run, Orlando, FL  
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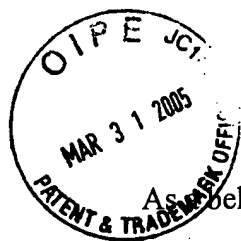
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Full name of first or joint inventor: Ihor A. Lys  
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Post Office Address: 1221 Canton Avenue, Milton, MA  
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**Date**

Full name of first or joint inventor: Michael K. Blackwell  
Citizenship: United States  
Residence: 357 Blue Hills Parkway, Milton, MA  
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Post Office Address: 357 Blue Hills Parkway, Milton, MA  
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Declaration - Page 5 of 6



2/23/05

---

**Inventor's signature**

**Date**

Full name of first or joint inventor: Alfred Ducharme

Citizenship: United States

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~~32828~~ 32765 Oviedo, FL 32765

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Declaration - Page 3 of 6

09/213,548 (Application No.)	December 17, 1998 (filing date)	Now Patent 6,166,496 (status-patented, pending, abandoned)
60/071,281 (Application No.)	December 17, 1997 (filing date)	(status-patented, pending, abandoned)
60/068,792 (Application No.)	December 24, 1997 (filing date)	(status-patented, pending, abandoned)
60/078,861 (Application No.)	March 20, 1998 (filing date)	(status-patented, pending, abandoned)
60/079,285 (Application No.)	March 25, 1998 (filing date)	(status-patented, pending, abandoned)
60/090,920 (Application No.)	June 26, 1998 (filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented,pending,abandoned)
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I hereby appoint the following Registered Practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All Registered Practitioners of Lowrie, Lando & Anastasi, LLP associated with Customer Number 37462.

Address all telephone calls to Joseph Teja, Jr. at telephone no. (617) 395-7000.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Serial No. 09/805,590

Attorney Docket No.: C01104/70016  
Declaration – Page 4 of 6

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Serial No. 09/805,590

Attorney Docket No.: C01104/70016

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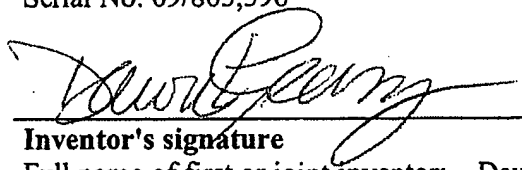
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Attorney Docket No.: C01104/70016

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, et al.  
Serial No: 09/805,590 ✓  
Confirmation. No.: 1160  
Filed: March 13, 2001  
For: LIGHT-EMITTING DIODE BASED PRODUCTS  
Examiner: A., Minh D.  
Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 29 day of March, 2005.

  
Jeanne W. Chub

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

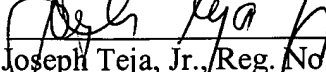
Transmitted herewith are the following documents:

- [X] Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- [X] Written Consent of Assignee Accompanying Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- [X] Certificate Under 37 C.F.R. 3.73(b)
- [X] Statement of Timothy Holmes Accompanying Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- [X] Supplemental Declaration for Patent Application – 5 Executed Copies
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check for \$130.00 is enclosed. If the fee is insufficient, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,  
Kevin J. Dowling et al., Applicants

By:   
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